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<p>(21) International Application Number: PCT/US97/10516 (22) International Filing Date: 17 June 1997 (17.06.97) (30) Priority Data: 60/019,990 18 June 1996 (18.06.96) US (71) Applicant: ALZA CORPORATION [US/US]; 950 Page Mill Road, P.O. Box 10950, Palo Alto, CA 94303-0802 (US). (72) Inventors: CORMIER, Michel, J., N.; 278 Andsbury Avenue, Mountain View, CA 94043 (US). NEUKERMANS, Armand, P.; 3510 Arbutus Avenue, Palo Alto, CA 94303 (US). BLOCK, Barry; 30610 Page Mill Road, Los Altos, CA 94022 (US). THEEUWES, Felix, T.; 27350 Altamont Road, Los Altos, CA 94022 (US). AMKRAUT, Alfred, A.; 3358 Kenneth Drive, Palo Alto, CA 94303 (US). (74) Agents: MILLER, D., Byron et al.; Alza Corporation, 950 Page Mill Road, P.O. Box 10950, Palo Alto, CA 94303-0802 (US).</p>		<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, UZ, VN, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p><b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>
<p>(54) Title: DEVICE FOR ENHANCING TRANSDERMAL AGENT DELIVERY OR SAMPLING</p>		
<p>(57) Abstract</p> <p>A percutaneous agent delivery or sampling device (10, 88, 98, 104) comprising a sheet (6) having a plurality of microblades (4) for piercing and anchoring to the skin for increasing transdermal flux of an agent and for improving the attachment of the device (10, 88, 98, 104) to the skin. The device comprises a sheet (6) having at least one opening (8) therethrough and a plurality of blades (4) extending downward therefrom, and an anchoring means for anchoring the device (2) to the body surface.</p>		

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## 1                    **DEVICE FOR ENHANCING TRANSDERMAL AGENT** 2                    **DELIVERY OR SAMPLING**

### 3 4                    **Field of the Invention**

5  
6                    The present invention relates to transdermal agent delivery and sampling.  
7                    More particularly, this invention relates to the transdermal delivery of agents, such as  
8                    peptides and proteins, as well as the transdermal sampling of agents, such as glucose,  
9                    body electrolytes and substances of abuse, such as but not limited to alcohol and illicit  
10                   drugs. The present invention uses skin-piercing microblades to enhance the  
11                   transdermal flux of the agents during transdermal delivery or sampling and anchoring  
12                   elements to assist in retaining the delivery or sampling device in the skin.

### 13 14                   **Background of the Invention**

15  
16                   Interest in the percutaneous or transdermal delivery of peptides and proteins to  
17                   the human body continues to grow with the increasing number of medically useful  
18                   peptides and proteins becoming available in large quantities and pure form. The  
19                   transdermal delivery of peptides and proteins still faces significant problems. In many  
20                   instances, the rate of delivery or flux of polypeptides through the skin is insufficient to  
21                   produce a desired therapeutic effect due to the binding of the polypeptides to the skin.  
22                   In addition, polypeptides and proteins are easily degraded during and after penetration  
23                   into the skin, prior to reaching target cells. Likewise, the passive flux of water soluble  
24                   small molecules such as salts is limited.

25                   One method of increasing the transdermal delivery of agents relies on the  
26                   application of an electric current across the body surface or on "electrotransport".  
27                   "Electrotransport" refers generally to the passage of a beneficial agent, e.g., a drug or  
28                   drug precursor, through a body surface such as skin, mucous membranes, nails, and  
29                   the like. The transport of the agent is induced or enhanced by the application of an  
30                   electrical potential, which results in the application of electric current, which delivers or  
31                   enhances delivery of the agent. The electrotransport of agents through a body surface

1 may be attained in various manners. One widely used electrotransport process,  
2 iontophoresis, involves the electrically induced transport of charged ions.  
3 Electroosmosis, another type of electrotransport process, involves the movement of a  
4 solvent with the agent through a membrane under the influence of an electric field.  
5 Electroporation, still another type of electrotransport, involves the passage of an agent  
6 through pores formed by applying a high voltage electrical pulse to a membrane. In  
7 many instances, more than one of these processes may be occurring simultaneously to  
8 different extents. Electrotransport delivery generally increases agent delivery,  
9 particularly large molecular weight species (e.g., polypeptides) delivery rates, relative  
10 to passive or non-electrically assisted transdermal delivery. However, further increases  
11 in transdermal delivery rates and reductions in polypeptide degradation during  
12 transdermal delivery are highly desirable.

13 One method of increasing the agent transdermal delivery rate involves pre-  
14 treating the skin with, or alternatively co-delivering with the beneficial agent, a skin  
15 permeation enhancer. The term "permeation enhancer" is broadly used herein to  
16 describe a substance which, when applied to a body surface through which the agent is  
17 delivered, enhances its electrotransport flux. The mechanism may involve a reduction  
18 of the electrical resistance of the body surface to the passage of the agent  
19 therethrough, an increase in the permeability of the body surface, the creation of  
20 hydrophilic pathways through the body surface, and/or a reduction in the degradation of  
21 the agent (e.g., degradation by skin enzymes) during electrotransport.

22 There have been many attempts to enhance transdermal flux by mechanically  
23 puncturing the skin prior to transdermal drug delivery. See for example U.S. Patent  
24 Nos. 5,279,544 issued to Gross et al., 5,250,023 issued to Lee et al., and 3,964,482  
25 issued to Gerstel et al. These devices utilize tubular or cylindrical structures generally,  
26 although Gerstel does disclose the use of other shapes, to pierce the outer layer of the  
27 skin. Each of these devices provide manufacturing challenges, limited mechanical  
28 attachment of the structure to the skin, and/or undesirable irritation of the skin.

1 As has been discussed, a variety of chemicals and mechanical means have  
2 been explored to enhance transdermal flux. However, there is still a need to provide a  
3 device suitable for increasing transdermal flux which device is low-cost and which can  
4 be manufactured reproducibly (i.e., without significant variation from device to device)  
5 in high volume production and to improve the attachment of the device to the skin.

### 6 7 Description of the Invention

8  
9 The present invention provides a reproducible, high volume production, low-cost  
10 device suitable for increasing transdermal flux and improving attachment to the skin  
11 with minimal to no skin irritation. The device generally comprises a structure that  
12 attaches to the skin more effectively than the prior art devices. The invention  
13 comprises a plurality of microblades for piercing and anchoring to the skin. The blades  
14 typically have a length of less than about 0.4 mm and a width and thickness which is  
15 even smaller. In spite of their small size, the blades can be made with an extremely  
16 reproducible size and shape so that the microslits formed by the blades puncturing the  
17 skin also have a very reproducible size and depth. Because the blades have a small  
18 thickness (i.e., small relative to the width and length of the blades), the blades produce  
19 less tissue damage for a given cross-section than a skin piercing microneedle having a  
20 circular cross-section. The device of the present invention pierces the stratum  
21 corneum of a body surface to form pathways through which a substance (e.g., a drug)  
22 can be introduced (i.e., delivery) or through which a substance (e.g., a body electrolyte)  
23 can be withdrawn (i.e., sampling).

24 In one aspect of the invention, the device comprises a sheet having a plurality of  
25 openings therethrough, a plurality of microblades integral therewith and extending  
26 downward therefrom, and means for anchoring the device to a body surface. In the  
27 many different aspects of the invention, the device is anchored to the body surface in  
28 any of plurality of ways, including but not limited to, having an extension such as a  
29 prong or barb extending from at least some of the microblades, having an opening  
30 extending perpendicular through at least some of the microblades, covering essentially  
31 the entire surface area of the skin contacting surface of the device with adhesive except

1 for one side of the microblades, orienting at least some of the plurality of microblades at  
2 an angle of 90° to the remainder of the plurality of microblades, orienting at least some  
3 of the plurality of microblades at an angle within a range of about 1° to about 89° with  
4 respect to the remainder of the plurality of microblades, providing a plurality of second  
5 openings through the sheet which make the device more shapeable with respect to the  
6 body surface. The device of the present invention can be used in connection with drug  
7 delivery, body analyte or drug sampling, or both. Delivery devices for use with the  
8 present invention include, but are not limited to, electrotransport devices, passive  
9 devices, osmotic devices and pressure-driven devices. Sampling devices for use with  
10 the present invention include, but are not limited to, "reverse" electrotransport devices  
11 as disclosed in Glikfeld et al., U.S. Patent No. 5,279,543, passive devices, osmotic  
12 devices and negative pressure driven devices.

13 The present invention also provides a high yield, low-cost method for producing,  
14 in extremely reproducible fashion, the device of the present invention.

15

16

#### **Brief Description of the Drawings**

17

18 Figure 1 is a perspective exploded view of one embodiment of an  
19 electrotransport agent delivery system with a blade array device according to one  
20 embodiment of the present invention;

21 Figure 2 is an enlarged perspective view of the skin proximal side of the blade  
22 array device in accordance with one embodiment of the present invention;

23 Figure 3 is a partial top plan view of a blade array pattern in accordance with  
24 one embodiment of the present invention for forming blades with anchoring elements;

25 Figure 4 is partial top plan view of yet another embodiment of the blade array  
26 pattern of Figure 3;

27 Figure 5 is an enlarged view of a portion of the blades of the blade array pattern  
28 of Figure 3;

29 Figure 6 is an enlarged view of a blade tip in accordance with one embodiment  
30 of the present invention;

1           Figure 7 is an enlarged view of a blade tip in accordance with another  
2           embodiment of the present invention;

3           Figure 8 is a diagrammatic representation of a method for producing blades of  
4           the present invention from the blade array pattern of figure 3;

5           Figure 9 is an enlarged cross-sectional view of angled blades in accordance  
6           with one embodiment of the present invention;

7           Figures 10, 11 and 12 are yet other embodiments of the blades with anchoring  
8           elements of the present invention;

9           Figure 13 is a right side elevational view of another embodiment of a blade with  
10          an anchoring element;

11          Figure 14 is an end view of the blade of figure 13;

12          Figures 15 and 16 are another embodiment of the blade and an anchoring  
13          element;

14          Figure 17 is a right side elevational view of a blade with anchoring elements in  
15          accordance with one embodiment of the present invention;

16          Figure 18 is a cross-sectional view taken along line 18-18 of figure 17;

17          Figure 19 is a right side elevational view of another embodiment of a blade with  
18          an anchoring element;

19          Figure 20 is an enlarged partial top plan view of still another embodiment of the  
20          blade array pattern;

21          Figure 21 is an enlarged partial top plan view of yet another embodiment of the  
22          blade array pattern;

23          Figure 22 is a bottom plan view of the electrotransport agent delivery system of  
24          figure 1;

25          Figure 23 is a right side elevational view of the electrotransport agent delivery  
26          system of figure 1;

27          Figure 24 is a rear elevational view of the electrotransport agent delivery system  
28          of figure 1;

29          Figure 25 is a cross-sectional view taken along line 25-25 of the assembled  
30          electrotransport agent delivery system of figure 23;

1           Figure 26 is a diagrammatic cross-sectional view of a passive agent delivery  
2 system in accordance with one embodiment of the present invention;

3           Figure 27 is a diagrammatic cross-sectional view of another embodiment of a  
4 passive agent delivery system in accordance with the present invention;

5           Figure 28 is a diagrammatic cross-sectional view of a sampling system in  
6 accordance with one embodiment of the present invention; and

7           Figure 29 is a diagrammatic cross-sectional view of another embodiment of the  
8 blades of the present invention.

9

### 10                           Modes for Carrying Out the Invention

11

12           Turning now to the drawings in detail, one embodiment of the device 2 of the  
13 present invention is generally shown in Figure 1 for use with electrotransport delivery  
14 device 10. Device 2 is used for the percutaneous administration or sampling of an  
15 agent. The terms "substance", "agent" and "drug" are used interchangeably herein and  
16 broadly include physiologically or pharmacologically active substances for producing a  
17 localized or systemic effect or effects in mammals including humans and primates,  
18 avians, valuable domestic household, sport or farm animals, or for administering to  
19 laboratory animals such as mice, rats, guinea pigs, and the like. These terms also  
20 include substances such as glucose, electrolyte, alcohol, illicit drugs, etc. that can be  
21 sampled through the skin. The major barrier properties of the skin, such as resistance  
22 to drug penetration, reside with the stratum corneum. The inner division of the  
23 epidermis generally comprises three layers commonly identified as stratum  
24 granulosum, stratum malpighii, and stratum germinativum. Once a drug penetrates  
25 below the stratum corneum, there is substantially less resistance to permeation through  
26 the underlying stratum granulosum, stratum malpighii, and stratum germinativum layers  
27 for absorption and circulation of drug into the body. The device of the present invention  
28 is used to form microslits in the stratum corneum and produce a percolation area in the  
29 skin for improved transdermal delivery or sampling of an agent.



1        Device 2 comprises a plurality of microblades 4 (i.e., a blade array) extending  
2        downward from one surface of a sheet or plate 6 (see Figure 2 in which device 2 is in  
3        an inverted position to show the microblades). The microblades 4 penetrate the  
4        stratum corneum of the epidermis when pressure is applied to the device to increase  
5        the administration of or sampling of a substance through a body surface. The term  
6        "body surface" as used herein refers generally to the skin, mucous membranes, and  
7        nails of an animal or human, and to the outer surface of a plant.

8        Furthermore, the device 2 of the present invention improves the attachment of  
9        the device to the skin so that the percolation areas and a continuous pathway are  
10       preserved during movement of the body surface. In the embodiment shown in Figure  
11       2, projections in the form of barbs 50 on at least one of the blades 4 assist in anchoring  
12       the device 2 and any corresponding device or structure used in combination therewith  
13       to the skin. Barbs 50 can be on any number of the blades from one blade to all blades.  
14       Other embodiments which assist to anchor the device to the skin will be discussed  
15       below.

16       The microblades 4 are generally formed from a single piece of material and are  
17       sufficiently sharp and long for puncturing the stratum corneum of the skin. In one  
18       embodiment, the microblades 4 and the sheet 6 are essentially impermeable or are  
19       impermeable to the passage of an agent. The sheet 6 is formed with an opening 8  
20       between the microblades 4 for enhancing the movement of an agent therethrough. In  
21       the case of therapeutic agent (e.g., drug) delivery, the drug is released from a  
22       drug-containing reservoir (not shown in Figure 2) through microslits formed by the  
23       microblades 4 cutting through the stratum corneum, migrating down the outer surfaces  
24       of the microblades and through the stratum corneum to achieve local or systemic  
25       therapy. In the case of agent (e.g., body analyte) sampling, the analyte migrates from  
26       the body through the microslits in the stratum corneum which are cut by the  
27       microblades 4. In one embodiment, the opening 8 corresponds to the portion of the  
28       sheet 6 occupied by each of the microblades 4 prior to the blades being  
29       transpositioned into the downward depending position. The number of microblades 4  
30       per opening can be any number, preferably however between 1 and about 30 blades  
31       per opening. Furthermore, the number of openings per device and the number of

1 blades per device are independent. The device may have only one opening and one  
2 microblade. The agent can be administered at a controlled rate of release from the  
3 reservoir through an agent release rate controlling material (not shown) covering the  
4 openings 8.

5 As is best shown in Figure 2, the microblades 4 have a thickness which is much  
6 smaller than the width of the blades near their base, i.e., near the point where the  
7 blades are attached to the plate 6. This blade geometry provides maximum drug  
8 percolation area with a minimum blade penetration area, and hence less tissue  
9 damage. The drug percolation area is the skin area in contact with the blades which  
10 provides for drug penetration in the skin. The microblades are shaped with the largest  
11 possible surface area with a minimal cross-sectional area so as to give the largest  
12 possible percolation area. Thin microblades are better than round protrusions for this  
13 purpose because for the same cross-section, a thin microblade produces more  
14 percolation area and less tissue damage than a round protrusion. This is a crucial  
15 advantage over the prior art round elements such as needles and tubes. Thin  
16 microblades also require less insertion force than round protrusions. The width of each  
17 blade can be any of a range of widths. The widths can be different from blade to blade  
18 in the array pattern. Likewise, the width can be variable along the length of the blade,  
19 as will be described in more detail below. The width of the blade at the intersection of  
20 the blade and the body surface after the blade array has been inserted is preferably in  
21 the range of about 25  $\mu\text{m}$  to about 500  $\mu\text{m}$ , more preferably about 50  $\mu\text{m}$  to about 400  
22  $\mu\text{m}$ , more preferably 100  $\mu\text{m}$  to about 300  $\mu\text{m}$ .

23 In one embodiment, the microblades 4 (Figure 5) are also provided with slanted  
24 (i.e., angled) leading edges 64 to further reduce the insertion force required to press  
25 the microblades into the skin tissue. The angle of the leading edge is designated as  $\alpha$ .  
26 The slanted leading edges produce a cut through the skin tissue that is equal to the full  
27 width of the blade 4 while reducing the amount of metal that is in the skin tissue. In  
28 other words, a fiat leading edge (i.e.,  $\alpha$  is  $90^\circ$ ) produces a blade with a larger amount of  
29 blade material in the skin tissue than is produced by a blade having a slanted leading  
30 edge. The leading edges of each blade can all be the same angle or can be at  
31 different angles as shown in Figure 5. The angle  $\alpha$  of each leading edge can be any

1 angle between about 10° to 90°, preferably between about 10° to 60°, more preferably  
2 about 10° to 40°. The leading edge can also be segmented into two sections at  
3 different angles. For example, the first segment can have an angle  $\alpha$  between about  
4 10° to 40° and then transition to a second segment having an angle between 20° to  
5 60°. Alternatively, the leading edge of each blade can be arcuate (i.e., curved) in  
6 shape, having, for example, a convex or concave shape. In one embodiment, the  
7 leading edge is a curved tip across the entire width of the blade.

8 The microblades 4 are formed using a photo-etching process which is described  
9 in detail hereinafter. This process allows the microblades 4 to be reproducibly formed  
10 on a very small (i.e., tens of microns) scale. This process also allows the microblades  
11 4 to be formed in shapes which help anchor device 2 to the skin. In one embodiment,  
12 the microblades 4 are provided with barbs 50 (Figures 2, 3 and 5) in some fashion so  
13 that the device 2 and any corresponding device attached thereto stays attached to the  
14 skin after being applied with pressure. The degree of attachment and the number and  
15 size of the barbs is such as to retain the delivery or sampling device during the normal  
16 activity of the wearer, but not cause pain upon removal. As the microblades are  
17 pressed into the skin tissue for use, the leading edge 64 of each microblade cuts  
18 through and pushes aside the skin tissue. After the microblades have come to rest in  
19 the skin, the skin due to its elastic nature at least partially comes back together around  
20 the edges of the microblades, in this way the surface 66 on each microblade having a  
21 barb 50 engages skin tissue and anchors the device in the skin. If the blade is left in  
22 the skin for an extended period of time (e.g., 24 hours), the skin tissue begins to heal  
23 together in the area behind the surface 66 of the barb thus improving the anchoring of  
24 the device. Only one barb per blade is shown in the figures but it is within the scope of  
25 the present invention that each blade can have a plurality of barbs extending therefrom.  
26 The microblades, in one embodiment, have a cross-section that is wider in the area of  
27 the skin distal end of the blade than in the area of the skin proximal end, thus providing  
28 additional anchoring of the distal end in the skin. For example, the blades can have an  
29 "arrowhead" shape. Furthermore, the barbs 50 shown in the figures are in the same  
30 plane as the blade, however the barbs can be oriented outside of that plane for  
31 example by a separate bending step or by using a shaped punch and die to produce a

1 curve in the blade and barb. Curving the tips of the blade outside the plane of the  
2 blade generally provides better anchoring. Insertion of such blades causes the barbs  
3 to curve in the curve direction but retraction causes them to return to their prior position.  
4 The resulting curved cross-section of the blade can be, but is not limited to, angular,  
5 semi-circular, C-shaped, or banana-shaped, to effect a larger cross-section of openings  
6 in the skin.

7 The plurality of blades 4 for puncturing the stratum corneum are present on one  
8 face surface 48 of the device 2 in any predetermined arrangement, for example, as a  
9 cluster of blades spaced in rows having any desired number, or in any spaced apart  
10 relation of one blade to each other. The device 2 of the embodiment shown in Figures  
11 1 and 2 is produced by the pattern shown in Figure 3. Each blade has a width and  
12 thickness that facilitates penetration of the stratum corneum without bending. In the  
13 embodiment of Figure 3, there are six blades 4 in each opening 8 in sheet 6. Each  
14 opening 8 in this embodiment is 1 mm long and 300  $\mu\text{m}$  wide. Correspondingly, the  
15 width of each blade is between about 137.5  $\mu\text{m}$  to about 175  $\mu\text{m}$  and the length is  
16 about 250  $\mu\text{m}$ . The required length of the blades is subject to variation of the body  
17 surface being penetrated and corresponds to the natural thickness of the stratum  
18 corneum, for one of the principle features of the invention is that the blades are to  
19 penetrate the stratum corneum into the epidermis. Usually, the blades will be about 25  
20  $\mu\text{m}$  to about 400  $\mu\text{m}$  in length, with the length for most applications being between  
21 about 50  $\mu\text{m}$  to about 200  $\mu\text{m}$ .

22 The pattern for any of the blade array devices of the present invention are  
23 produced with a photo-etching process. A thin sheet or plate 6 of metal such as  
24 stainless steel or titanium is etched photo-lithographically with patterns containing  
25 blade-like structures. In general, a thin laminate dry resist or wet resist is applied on a  
26 sheet about 7  $\mu\text{m}$  to about 100  $\mu\text{m}$  thick, preferably about 25  $\mu\text{m}$  to about 50  $\mu\text{m}$  thick.  
27 The resist is contact exposed using a mask having the desired pattern and is  
28 subsequently developed. These operations are conducted in much the same way that  
29 they are for the manufacture of a printed circuit board. The sheet is then etched using  
30 acidic solutions. After the pattern has been etched through the sheet, the sheet is  
31 placed on a die 52 (shown schematically in figure 8) having a plurality of openings 56

1 corresponding to the openings 8 in the sheet. A punch 54 having a plurality of  
2 protrusions 58 corresponding to the openings in the sheet and die is initially located  
3 above the sheet and die. At the initial stage, the blades 4 are in the same plane as the  
4 rest of the sheet 6. The protrusions 58 on the punch 54 are then pressed into the  
5 openings 56, thus bending the blades 4 downward to be at an angle (e.g., substantially  
6 perpendicular) to the plane of the sheet. The finished structure provides blades 4 with  
7 an adjacent opening 8 for the passage of a substance therethrough when the device 2  
8 is applied to the skin. Rectangular openings 8 are shown in the figures but the  
9 invention encompasses the use of any shape openings including, but not limited to,  
10 square, triangular, circular and elliptical.

11 The sheet 6 in some areas can have additional etched openings 80 (Figure 4) to  
12 alleviate the curl created during punching and/or to provide for flexibility in the dense  
13 blade array patterns because in some embodiments the sheet becomes very stiff after  
14 punching. The openings can be any of a variety of shapes (e.g., rectangular, circular,  
15 elliptical, triangular, etc.) The openings also allow the sheet to be more easily curved to  
16 match the curvature of the body surface to which it is to be attached which improves  
17 anchoring of the device. The present invention maximizes the openings through the  
18 sheet but with a sufficient number of horizontal and vertical continuous portions in the  
19 sheet to prevent the sheet from being too flexible (i.e., flimsy). If the openings are  
20 made too long in any one dimension, the sheet will bend (i.e., crinkle). In addition, it is  
21 also possible to treat the devices after punching with heat or plastic deformation such  
22 that the radius of curvature of the sheet becomes equal to or somewhat smaller than  
23 the curvature of the body, where it is to be attached to enhance anchoring. The  
24 concave surface can be shaped to match the convex pattern of the body.

25 The blades 4 can be patterned with resist on both sides 48,49 and subsequently  
26 etched simultaneously from both sides (Figure 7) to achieve maximum pattern  
27 resolution for a given sheet thickness and to produce a knife-like edge that can not be  
28 achieved with conventional stamping and punching processes. Alternatively, the  
29 blades 4 can be patterned and etched from one side (i.e., side 49) only (Figure 6).  
30 When etching from one side only, the etching process can be controlled to etch  
31 selective depths in the plate 6 along the length of the blades (e.g., at the blade tips) to

1 produce a single angle 60 at the tip of the blade which maximizes the sharpness of the  
2 knife-like edge of the blade. In this embodiment, the lithography process produces a  
3 portion of the blade that is thinner than the remainder of the thickness of the blade and  
4 of the sheet. The lithography process also can produce very small dimensioned  
5 elements for the anchoring and the penetration aspects of the invention.

6 In another embodiment of the two-sided etching process, the blade array pattern  
7 of any of the embodiments of the present invention is etched into the top surface 49 of  
8 sheet 6. A second pattern equivalent to the area bounded by each of the openings 8  
9 (e.g., rectangular) is etched into the bottom surface 48 such that each of the blades in  
10 the blade array pattern is thinner than the surrounding sheet 6. As a result, the sheet 6  
11 forms a strong base and as the punch 54 deforms the blades 4 downward, each of the  
12 blades plastically deforms so as to produce blades that are straighter and more truly  
13 perpendicular to the sheet.

14 In one embodiment of the etching process, a dry resist (e.g., "Dynachem FL"  
15 available from Dynachem located in Tustin, CA) is applied 12.5  $\mu\text{m}$  thick to one or both  
16 sides of the sheet and exposed in a standard manner. Then a suitable spray etcher  
17 (e.g., "Dynamil VRP 1 0/NM" available from Western Tech. Assoc. located in Anaheim,  
18 CA) is used to spray a mixture of ferric chloride and hydrochloric acid onto the resist  
19 and sheet at 52 °C (125 °F) for two minutes. A standard caustic stripper is used for the  
20 resist removal.

21 In another embodiment of the etching process, a wet resist (e.g., "Shipley 111S"  
22 available from Shipley Corporation, located in Marlborough, MA) is applied 7.5  $\mu\text{m}$  thick  
23 at about 20 °C (70 °F) to one or both sides of the sheet and exposed in a standard  
24 manner. Then a suitable etchant (e.g., ferric chloride) is sprayed onto the resist and  
25 sheet at 49 °C (120 °F). A standard caustic stripper is used for the resist removal.

26 Generally, the blades 4 are at an angle of about 90° to the surface 48 of the  
27 sheet 6 after being punched, but they can be disposed at any angle forward or  
28 backward from the perpendicular position that will facilitate penetration of and  
29 attachment to the stratum corneum. In one embodiment (Figure 9), the blades are all  
30 aligned at an angle between about 1° and about 89° degrees, preferably about 10° to  
31 about 60°, more preferably about 20° to 45° to facilitate the device being slid along and

1 into the skin. The angled blades have two principal advantages. First, penetration of  
2 the blades is not opposed by the elasticity of the skin because the blades are slid  
3 horizontally into the skin as opposed to pressing vertically on the skin. Second, the  
4 angled blades act to anchor the device in the skin as any motion of the skin is less  
5 likely to dislodge the blades. In addition, other anchoring elements such as barbs,  
6 openings, etc. can be used with the angled blades to further enhance anchoring of the  
7 device.

8 In one embodiment (Figure 29), anchoring of the device is achieved by coating  
9 the surface 48 of sheet 6 and surface 82 of each blade 4 with an adhesive. One  
10 method of producing this embodiment comprises spraying the adhesive on the device 2  
11 along the direction indicated by arrows 84. In this embodiment, the agent is free to  
12 pass through the openings 8 and along surface 86 of each blade unencumbered by the  
13 adhesive. It is also possible to apply the adhesive on only surface 48 and not on the  
14 blade surfaces 82. This can be accomplished, for example, by applying the adhesive  
15 onto surface 48 after the blades 82 have been punched by spraying the adhesive in a  
16 direction which is parallel to the axis of the blades 82. It is further possible to apply the  
17 adhesive only on the blade surfaces 82 and not on the surface 48 of sheet 6 in order to  
18 anchor the device, although this last design is the least preferred adhesive anchoring  
19 means.

20 The sheet and blades can be made from materials that have sufficient strength  
21 and manufacturability to produce blades, such as, glasses, ceramics, rigid polymers,  
22 metals and metal alloys. Examples of metals and metal alloys include but are not  
23 limited to stainless steel, iron, steel, tin, zinc, copper, platinum, aluminum, germanium,  
24 nickel, zirconium, titanium and titanium alloys consisting of nickel, molybdenum and  
25 chromium, metals plated with nickel, gold, rhodium, iridium, titanium, platinum, and the  
26 like. An example of glasses include a devitrified glass such as "Photoceram" available  
27 from Corning in Corning, NY. Examples of rigid polymers include but are not limited to  
28 polystyrene, polymethylmethacrylate, polypropylene, polyethylene, "Bakelite", cellulose  
29 acetate, ethylcellulose, styrene/acrylonitrile copolymers, styrenetbutadiene  
30 copolymers, acrylonitrile/butadiene/styrene (ABS) copolymers, polyvinyl chloride and  
31 acrylic acid polymers including polyacrylates and polymethacrylates.

1           Very dense patterns can be created with unit cells wherein a unit cell has a  
2 width A and a length B as illustrated in Figure 3. In one embodiment (not shown), the  
3 pattern has the following characteristics: a unit cell area of 0.63 mm by 3.8 mm; the  
4 lineal length of a cut in a unit cell is approximately equal to 15 mm; and the open skin  
5 length per square centimeter is 625 mm.

6           The microblades of the present invention make an elongated, thin microcut (i.e.,  
7 a slit) in the skin surface because the blades have a small thickness (relative to their  
8 width and length) resulting in a minimal blade cross-sectional area for the portions of  
9 the blade in the skin. The geometry of the microblades 4 results in minimal blade  
10 volume in the skin with maximal blade surface area in the skin. The advantages of the  
11 present invention include, but are not limited to: (1) the thin blade geometry produces  
12 the maximum drug percolation area for a given cross-section of the blade; (2) minimal  
13 tissue damage occurs because the amount of blade material in the skin and hence the  
14 volume loading is minimized; (3) slanted leading edges (or equivalent pointed shapes)  
15 further minimize the amount of volume loading or tissue damage while preserving a  
16 large percolation area; (4) for a given volume loading, the larger the surface area, the  
17 larger the frictional retaining force in the skin; and (5) for a given desired percolation  
18 area, there are fewer blades necessary and therefore the force on each tip is higher  
19 making skin penetration easier.

20           In other embodiments (Figures 10-16) other anchoring elements are used in the  
21 present invention. In the embodiments shown in Figures 10-14, prong 68 is etched in  
22 the side of some or all of the blades 4, and punched lightly so as to protrude outward  
23 from the plane of each of the blades, as illustrated in Figures 10 and 14. After the  
24 punching of the prongs, the blades may be repunched to regain their substantially  
25 vertical orientation. Hinges 72 (Figure 13) can be used to control the retention force of  
26 the barb for anchoring. The hinges allow for the retention force to be tailored  
27 independently of the size of the blade because the force required to bend or punch the  
28 prong is set independently of the size of the blades by the shape or size of the hinge. In  
29 other words, the force can be tailored by the amount of attachment of the prong to the  
30 plate, the greater the attachment, the greater the force.



1           Prongs may protrude from either side of the blade, or both sides, if desired. The  
2   shape of each prong can be any of a variety of shapes such as triangular, square, etc.  
3   as shown in Figures 11 and 12. In another embodiment, a curved protrusion 70  
4   (Figures 15 and 16) is made by etching a slit in some or all of the blades followed by  
5   punching. The prongs and curved protrusions act to anchor the device in the skin  
6   similar to the manner described previously.

7           In other embodiments other anchoring elements are used. In the embodiments  
8   of Figures 17-19, the blade 4 has additional openings 74 extending through the blade  
9   to enhance anchoring. The edges forming the holes or other linear openings are  
10   etched through the blade. Alternatively, or in addition, numerous small pits (i.e.,  
11   indentations) rather than holes can be etched in the surface of the blade. As described  
12   above, the elastic nature of the skin tissue causes the skin to move into the openings or  
13   pits. In the embodiments with openings, the skin tissue may heal and reconnect  
14   through the openings to provide even greater anchoring.

15           In a further embodiment (Figure 20), a plurality of blades in an opening 8 are  
16   arranged at 90° to another plurality of blades in an opening 8' such that anchoring in  
17   two directions is obtained. In other words, the blades (not shown) associated with the  
18   openings 8 are oriented parallel to the edge 76 of the device 2 and the blades (not  
19   shown) associated with the openings 8' are oriented parallel to the edge 78 of the  
20   device. The blades associated with each opening 8 can be oriented at any angle with  
21   respect to the blades associated with each opening 8'. Alternatively, the blades within  
22   each opening can be along perpendicular sides of the openings. In a similar manner,  
23   the blades within each opening can be formed in a serrated pattern as illustrated in  
24   Figure 21. This pattern allows the blades to have different, controllable angles with  
25   respect to each other defined by the angle of the punch used and the etched angle  $\beta$  of  
26   the pattern.

27           The number of blades and openings of any of the embodiments of the device 2  
28   is variable with respect to the desired flux rate, agent being sampled or delivered,  
29   delivery or sampling device used (i.e., electrotransport, passive, osmotic,  
30   pressure-driven, etc.), and other factors as will be evident to one of ordinary skill in the  
31   art. In general, the larger the number of blades per unit area (i.e., the blade density),

1 the more distributed is the flux of the agent through the skin because there are a  
2 greater number of agent-conveying pathways through the skin. Consequently, the  
3 smaller the number of blades per unit area, the more concentrated is the flux of the  
4 agent through the skin because there are fewer pathways. The present invention has a  
5 blade density of at least about 10 blades/cm<sup>2</sup> and less than about 1000 blades/cm<sup>2</sup>,  
6 preferably at least about 600 blades/cm<sup>2</sup>, more preferably at least about 800  
7 blades/cm<sup>2</sup>. In similar fashion, the number of openings per unit area through which the  
8 agent passes is at least about 10 openings/cm<sup>2</sup> and less than about 1000  
9 openings/cm<sup>2</sup>. In one embodiment, the present invention produces a percolation area  
10 of about 0.005 to .05 cm<sup>2</sup>/cm<sup>2</sup> of body surface, preferably about 0.01 cm<sup>2</sup>/cm<sup>2</sup> of body  
11 surface.

12 One embodiment of the present invention relies on the application of an electric  
13 current across the body surface or "electrotransport". Electrotransport refers generally  
14 to the passage of a beneficial agent, e.g., a drug or drug precursor, through a body  
15 surface such as skin, mucous membranes, nails, and the like. The transport of the  
16 agent is induced or enhanced by the application of an electrical potential, which results  
17 in the application of electric current, which delivers or enhances delivery of the agent  
18 or, for "reverse" electrotransport, samples or enhances sampling of the agent. The  
19 electrotransport of the agents into the human body may be attained in various manners.  
20 One widely used electrotransport process, iontophoresis, involves the electrically  
21 induced transport of charged ions. Electroosmosis, another type of electrotransport  
22 process involved in the transdermal transport of uncharged or neutrally charged  
23 molecules (e.g. transdermal sampling of glucose), involves the movement of a solvent  
24 with the agent through a membrane under the influence of an electric field.  
25 Electroporation, still another type of electrotransport, involves the passage of an agent  
26 through pores formed by applying an electrical pulse, a high voltage pulse, to a  
27 membrane. In many instances, more than one of these processes may be occurring  
28 simultaneously to different extents. Accordingly, the term "electrotransport" is given  
29 herein its broadest possible interpretation, to include the electrically induced or  
30 enhanced transport of at least one charged or uncharged agent, or mixtures thereof,

1 regardless of the specific mechanism(s) by which the agent is actually being  
2 transported.

3 It will be appreciated by those working in the field that the present invention can  
4 be used in conjunction with a wide variety of electrotransport drug delivery systems, as  
5 the invention is not limited in any way in this regard. For examples of electrotransport  
6 drug delivery systems, reference may be had to U.S. Patent Nos. 5,147,296 to  
7 Theeuwes et al., 5,080,646 to Theeuwes et al., 5,169,382 to Theeuwes et al., and  
8 5,169,383 to Gyory et al.

9 Electrotransport devices generally use at least two electrodes which are in  
10 electrical contact with some portion of the skin, nails, mucous membranes, or other  
11 body surface. In the case of transdermal agent delivery, one of the two electrodes is  
12 commonly referred to as the "donor" or "active" electrode, and is the one from which the  
13 agent is delivered into the body. In the case of transdermal agent sampling, one of the  
14 two electrodes is referred to as the "receptor" electrode, and is the one into which the  
15 agent (e.g., body electrolyte) is collected upon being withdrawn from the body. The  
16 second electrode is, typically termed the "counter" or "return" electrode, and serves to  
17 close the electrical circuit through the body. For example, when the agent to be  
18 delivered is a cation, i.e., a positively charged ion, the anode becomes the active or  
19 donor electrode, while the cathode serves to complete the circuit. Alternatively, if the  
20 agent to be delivered is an anion, i.e., a negatively charged ion, the cathode is the  
21 donor electrode. When the agent to be sampled is a cation, the cathode becomes the  
22 receptor electrode while the anode serves to complete the circuit. When the agent to  
23 be sampled is an anion, the anode becomes the receptor electrode while the cathode  
24 serves to complete the circuit. When the agent to be sampled has no net charge (e.g.,  
25 glucose), then either the anode, or the cathode, or both electrodes, can serve as the  
26 receptor electrode. Both the anode and cathode may be donor electrodes if both  
27 anionic and cationic agents are delivered simultaneously. Electrotransport delivery  
28 systems generally require at least one reservoir or source of the agent to be delivered  
29 to the body. Electrotransport sampling systems likewise require at least one reservoir  
30 in which to collect the agent being sampled. Examples of such reservoirs include a  
31 pouch or cavity as described in U.S. Patent No. 4,250,878 to Jacobsen et al., a porous

1 sponge or pad as described in U.S. Patent No. 4,141,359 to Jacobsen et al., and a  
2 pre-formed gel body as described in U.S. Patent No. 4,383,529 to Webster, among  
3 others. Such reservoirs are electrically connected to, and positioned between, the  
4 anode or the cathode and the body surface, e.g., to provide a fixed or renewable  
5 source of one or more drugs in the case of agent delivery. In addition, electrotransport  
6 systems also typically have an electrical power source, e.g., one or more batteries, and  
7 an electrical controller designed to regulate the timing, amplitude and/or frequency of  
8 the applied electric current, and hence regulate the timing and rate of agent  
9 delivery/sampling. This power source component is electrically connected to the two  
10 electrodes. Optional electrotransport device components include a counter reservoir,  
11 adhesive coatings, insulating separation layers, and rate-controlling membranes.

12 Figures 1 and 22-25 illustrate a representative electrotransport  
13 delivery/sampling device 10 that may be used in conjunction with the present invention.

14 Device 10 comprises an upper housing 16, a circuit board assembly 18, a lower  
15 housing 20, anode electrode 22, cathode electrode 24, anode reservoir 26, cathode  
16 reservoir 28 and skin-compatible adhesive 30. Upper housing 16 has lateral wings 15  
17 which assist in holding device 10 on a patient's skin. Printed circuit board assembly 18  
18 comprises an integrated circuit 19 coupled to discrete components 40 and battery 32.  
19 Circuit board assembly 18 is attached to housing 16 by posts (not shown in Figure 1)  
20 passing through openings 13a and 13b, the ends of the posts being heated/melted in  
21 order to heat stake the circuit board assembly 18 to the housing 16. Lower housing 20  
22 is attached to the upper housing 16 by means of adhesive layer 30, the upper surface  
23 34 of adhesive layer 30 being adhered to both lower housing 20 and upper housing 16  
24 including the bottom surfaces of wings 15. Shown (partially) on the underside of circuit  
25 board assembly 18 is a button cell battery 32. Other types of batteries may also be  
26 employed to power device 10 depending on the need.

27 The device 10 is generally comprised of battery 32, electronic circuitry 19,40,  
28 electrodes 22,24, drug/receptor reservoir 26, counter reservoir 28, and device 2, all of  
29 which are integrated into a self-contained unit. The outputs (not shown in Figure 1) of  
30 the circuit board assembly 18 make electrical contact with the electrodes 24 and 22  
31 through openings 23,23' in the depressions 25,25' formed in lower housing 20, by

1 means of electrically conductive adhesive strips 42,42'. Electrodes 22 and 24, in turn,  
2 are in direct mechanical and electrical contact with the top sides 44',44 of drug  
3 reservoirs 26 and 28. The bottom side 46 of drug reservoir 28 contacts the patient's  
4 skin through the opening 29 in adhesive layer 30. The bottom side 46' of drug reservoir  
5 26 contacts the patient's skin through the plurality of openings 8 in the device 2. The  
6 formulation of reservoir 26 is preferably a viscous gel that fills the openings 8 such that  
7 the reservoir 26 is in direct contact with the skin when the blades have penetrated the  
8 stratum corneum. The contact between the reservoir and skin provides a path for the  
9 agent to be transported along. If the reservoir 26 is not in direct contact with the skin  
10 initially typically sweat accumulates in the confined area and provides an agent-  
11 transmitting pathway between reservoir 26 and the skin.

12 Device 10 optionally has a feature which allows the patient to self-administer  
13 a dose of drug, or self-sample a body electrolyte, by electrotransport. Upon  
14 depression of push button switch 12, the electronic circuitry on circuit board assembly  
15 18 delivers a predetermined DC current to the electrode/reservoirs 22,26 and 24,28 for  
16 an interval of predetermined length. The push button switch 12 is conveniently located  
17 on the top side of device 10 and is easily actuated through clothing. A double press of  
18 the push button switch 12 within a short time period, e.g., three seconds, is preferably  
19 used to activate the device, thereby minimizing the likelihood of inadvertent actuation of  
20 the device 10. Preferably, the device transmits to the user a visual and/or audible  
21 confirmation of the onset of operation by means of LED 14 becoming lit and/or an  
22 audible sound signal from, e.g., a "beeper". Agent is delivered/sampled through the  
23 patient's skin, e.g., on the arm, by electrotransport over the predetermined interval.  
24 Anodic electrode 22 is preferably comprised of silver and cathodic electrode 24 is  
25 preferably comprised of silver chloride. Both reservoirs 26 and 28 are preferably  
26 comprised of polymeric gel materials. Electrodes 22,24 and reservoirs 26,28 are  
27 retained by lower housing 20.

28 In the case of therapeutic agent (i.e., drug) delivery, a liquid drug solution or  
29 suspension is contained in at least one of the reservoirs 26 and 28. Drug  
30 concentrations in the range of approximately  $1 \times 10^{-4}$  M to 1.0 M or more can be used,  
31 with drug concentrations in the lower portion of the range being preferred.

1           The push button switch 12, the electronic circuitry on circuit board assembly 18  
2 and the battery 32 are adhesively "sealed" between upper housing 16 and lower  
3 housing 20. Upper housing 16 is preferably composed of rubber or other elastomeric  
4 material, e.g., injection moldable ethylene vinyl acetate. Lower housing 20 is preferably  
5 composed of a plastic or elastomeric sheet material (e.g., polyethylene) which can be  
6 easily molded to form depressions 25,25' and cut to form openings 23,23'. The  
7 assembled device 10 is preferably water resistant (i.e., splash proof) and is most  
8 preferably waterproof. The system has a low profile that easily conforms to the body,  
9 thereby allowing freedom of movement at, and around, the wearing site. The reservoirs  
10 26 and 28 are located on the skin-contacting side of the device 10 and are sufficiently  
11 separated to prevent accidental electrical shorting during normal handling and use.

12           The device 10 adheres to the patient's body surface (e.g., skin) by means of an  
13 adhesive layer 30 (which has upper adhesive side 34 and body contacting adhesive  
14 side 36) and the anchoring elements on the device 2 of any of the embodiments  
15 discussed above. The adhesive side 36 covers the entire underneath side of the  
16 device 10 except where the device 2 and reservoir 28 are located. The adhesive side  
17 36 has adhesive properties which assures that the device 10 remains in place on the  
18 body during normal user activity, and yet permits reasonable removal after the  
19 predetermined (e.g., 24-hour) wear period. Upper adhesive side 34 adheres to lower  
20 housing 20 and retains the electrodes and reservoirs within housing depression 25,25'  
21 as well as retains device 2 to lower housing 20 and lower housing 20 to upper housing  
22 16.

23           In one embodiment of the drug delivery or sampling device there is a bandage  
24 cover (not shown) on the device 10 for maintaining the integrity of the device when it is  
25 not in use. In use, the bandage cover is stripped from the device before the device is  
26 applied to the skin.

27           In other embodiments of the present invention, passive transdermal delivery or  
28 sampling devices are used with device 2. Two examples of passive transdermal  
29 delivery or sampling devices are illustrated in Figures 26 and 27. In Figure 26, passive  
30 transdermal delivery device 88 comprises a reservoir 90 containing agent. Reservoir  
31 90 is preferably in the form of a matrix containing the agent dispersed therein.

1 Reservoir 90 is sandwiched between a backing layer 92, which is preferably  
2 impermeable to the agent, and a rate-controlling membrane 94. In Figure 26, the  
3 reservoir 90 is formed of a material, such as a rubbery polymer, that is sufficiently  
4 viscous to maintain its shape. If a lower viscosity material is used for reservoir 90, such  
5 as an aqueous gel, backing layer 92 and rate-controlling membrane 94 would be  
6 sealed together about their periphery to prevent leakage. In a sampling configuration,  
7 the reservoir 90 would initially not contain the agent. Located below membrane 94 is  
8 microblade array device 2. The device 88 adheres to a body surface by means of  
9 contact adhesive layer 96 around the periphery of the device 2 and by the anchoring  
10 elements of any of the embodiments described previously. The adhesive layer 96 may  
11 optionally contain agent. A strippable release liner (not shown) is normally provided  
12 along the exposed surface of adhesive layer 96 and is removed prior to application of  
13 device 10 to the body surface.

14 Alternatively, as shown in Figure 27, transdermal therapeutic device 98 may be  
15 attached to a body surface by means of a flexible adhesive overlay 100 and the  
16 anchoring elements used in device 2. Device 98 is comprised of an agent-containing  
17 reservoir 90 (for a delivery configuration) which is preferably in the form of a matrix  
18 containing the agent dispersed therein. In a sampling configuration, the reservoir 90  
19 would initially not contain the agent. An impermeable backing layer 102 is provided  
20 adjacent one surface of reservoir 90. Adhesive overlay 100 maintains the device 98 on  
21 the body surface in combination with the anchoring elements of any of the  
22 embodiments previously described for device 2. Adhesive overlay 100 can be  
23 fabricated together with, or provided separately from, the remaining elements of the  
24 device 98. With certain formulations, the adhesive overlay 100 may be preferable to  
25 the contact adhesive 96 shown in Figure 26. This is true, for example, where the agent  
26 reservoir contains a material (such as, for example, an oily surfactant permeation  
27 enhancer) which adversely affects the adhesive properties of the contact adhesive  
28 layer 96. Impermeable backing layer 102 is preferably slightly larger than reservoir 90,  
29 and in this manner prevents the agents in reservoir 90 from adversely interacting with  
30 the adhesive in overlay 100. Optionally, a rate-controlling membrane (not shown in  
31 Figure 27) similar to membrane 94 in device 88 (Figure 26) can be provided on the

1 skin/mucosa side of reservoir 90. A strippable release liner (not shown) is also  
2 normally provided with device 98 and is removed just prior to application of device 98 to  
3 the body surface.

4 The formulation for the passive transdermal devices may be aqueous or non-  
5 aqueous based. The formulation is designed to deliver the drug at the necessary  
6 fluxes. Aqueous formulations typically comprise water and about 1 to 2 weight percent  
7 of a hydrophilic polymer as a gelling agent, such as hydroxyethylcellulose or  
8 hydroxypropylcellulose. Typical non-aqueous gels are comprised of silicone fluid or  
9 mineral oil. Mineral oil-based gels also typically contain 1 to 2 weight percent of a  
10 gelling agent such as colloidal silicon dioxide.

11 The reservoir matrix should be compatible with the delivered agent, any  
12 excipients (e.g., flux enhancers, irritation preventing agents) and/or any carrier  
13 therefore. When using an aqueous-based system, the reservoir matrix is preferably a  
14 hydrophilic polymer, e.g., a hydrogel. When using a non-aqueous-based system, the  
15 reservoir matrix is preferably composed of a hydrophobic polymer. Suitable polymeric  
16 matrices are well known in the transdermal drug delivery art.

17 When a constant drug delivery rate is desired, the drug is present in the matrix  
18 or carrier at a concentration in excess of saturation, the amount of excess being a  
19 function of the desired length of the drug delivery period of the system. The drug may,  
20 however, be present at a level below saturation without departing from this invention.

21 In addition to the drug, the matrix or carrier may also contain dyes, pigments,  
22 inert fillers, permeation enhancers, excipients and other conventional components of  
23 pharmaceutical products or transdermal devices known in the art.

24 The amount of drug present in the reservoir and the size of the reservoir is  
25 generally non-limited and is an amount equal to or larger than the amount of drug that,  
26 in its released form, is effective in bringing about the drugs physiological or  
27 pharmacological local or systemic effects.

28 The preferred form in which an agent is delivered or sampled generally  
29 determines the type of delivery or sampling system to be used, and vice versa. That is,  
30 the selection of a "passive" system which delivers or samples the agent by diffusion or  
31 an electrically powered system which delivers or samples the agent by electrotransport



1 will be mostly determined by the form of the agent. For example, with passive delivery  
2 systems, it has generally been recognized that the agent is preferably delivered in  
3 either its free base or acid form, rather than in the form of a water soluble salt. On the  
4 other hand, with electrotransport delivery devices, it has been recognized that the  
5 drugs should preferably be ionized and the drug salt should be soluble in water. It is  
6 generally believed that the pathways for passive and electrotransported transdermal  
7 drug delivery through intact skin are different, with passive delivery occurring through  
8 lipid regions (i.e., hydrophobic regions) of the skin and electrotransport delivery  
9 occurring through hydrophilic pathways or pores such as those associated with hair  
10 follicles and sweat glands. For the case of pierced skin, there is substantial passive  
11 flux through the microslits created by the microblades piercing the stratum corneum.  
12 The drug for passive delivery is generally hydrophobic, e.g., free base form, whereas  
13 the preferred form of a drug for electrotransport delivery is hydrophilic, e.g., water  
14 soluble salt form. For osmotic and pressure driven systems which deliver or sample  
15 drugs by connective flow carried by a solvent, the drug preferably has sufficient  
16 solubility in the carrier solvent. It will be appreciated by those working in the field that  
17 the present invention can be used in conjunction with a wide variety of osmotic delivery  
18 or sampling systems, as the invention is not limited to a particular device in this regard.  
19 Osmotic devices, available for use with the present invention, are disclosed for  
20 example in U.S. Patent Nos. 4,340,480 to Eckenhoff, 4,655,766 to Theeuwes et al.,  
21 and 4,753,651 to Eckenhoff.

22 This invention has utility in connection with the delivery of drugs within any of  
23 the broad class of drugs normally delivered through body surfaces and membranes,  
24 including skin. In general, this includes drugs in all of the major therapeutic areas  
25 including, but not limited to, anti-infectives such as antibiotics and antiviral agents,  
26 analgesics including fentanyl, sufentanil, buprenorphine and analgesic combinations,  
27 anesthetics, anorexics, antiarthritics, antiasthmatic agents such as terbutaline,  
28 anticonvulsants, antidepressants, antidiabetic agents, antidiarrheals, antihistamines,  
29 anti-inflammatory agents, antimigraine preparations, antimotion sickness preparations  
30 such as scopolamine and ondansetron, antinauseants, antineoplastics,  
31 antiparkinsonism drugs, antipruritics, antipsychotics, antipyretics, antispasmodics,

1 including gastrointestinal and urinary anticholinergics, sympathomimetics, xanthine  
2 derivatives, cardiovascular preparations including calcium channel blockers such as  
3 nifedipine, betablockers, beta-agonists such as dobutamine and ritodrine,  
4 antiarrhythmics, antihypertensives such as atenolol, ACE inhibitors such as ranitidine,  
5 diuretics, vasodilators, including general, coronary, peripheral and cerebral, central  
6 nervous system stimulants, cough and cold preparations, decongestants, diagnostics,  
7 hormones such as parathyroid hormone, bisphosphonates, hypnotics,  
8 immunosuppressives, muscle relaxants, parasympatholytics, parasympathomimetics,  
9 prostaglandins, psychostimulants, sedatives and tranquilizers. The invention is also  
10 useful in conjunction with reducing or preventing sensitization occurring as a result of  
11 electrotransport delivery of proteins, peptides and fragments thereof, whether naturally  
12 occurring, chemically synthesized or recombinantly produced. The invention may  
13 additionally be used in conjunction with the delivery of nucleotidic drugs, including  
14 oligonucleotide drugs, polynucleotide drugs, and genes.

15 The present invention has particular utility in the delivery of peptides,  
16 polypeptides, proteins, nucleotidic drugs, and other such species through body  
17 surfaces such as skin. These substances typically have a molecular weight of at least  
18 about 300 daltons, and more typically have a molecular weight of at least about 300 to  
19 40,000 daltons. Specific examples of peptides and proteins in this size range include,  
20 without limitation, LHRH, LHRH analogs such as goserelin, buserelin, gonadorelin,  
21 napharelin and leuprolide, GHRH, GHRF, insulin, insulintropin, calcitonin, octreotide,  
22 endorphin, TRH, NT-36 (chemical name:  
23 N-[[[(s)-4-oxo-2-azetidiny]carbonyl]-L-histidyl-L-prolinamide), liprecin, pituitary  
24 hormones (e.g., HGH, HMG, desmopressin acetate, etc.), follicle luteoids,  $\alpha$ ANF,  
25 growth factors such as growth factor releasing factor (GFRF),  $\beta$ MSH, GH, somatostatin,  
26 bradykinin, somatotropin, platelet-derived growth factor, asparaginase, bleomycin  
27 sulfate, chymopapain, cholecystokinin, chorionic gonadotropin, corticotropin (ACTH),  
28 erythropoietin, epoprostenol (platelet aggregation inhibitor), glucagon, HCG, hirulog,  
29 hyaluronidase, interferon, interleukins, menotropins (urofollitropin (FSH) and LH),  
30 oxytocin, streptokinase, tissue plasminogen activator, urokinase, vasopressin,  
31 desmopressin, ACTH analogs, ANP, ANP clearance inhibitors, angiotensin II

1 antagonists, antidiuretic hormone agonists, bradykinin antagonists, ceredase, CSI's,  
2 calcitonin gene related peptide (CGRP), enkephalins, FAB fragments, IgE peptide  
3 suppressors, IGF-1, neurotrophic factors, colony stimulating factors, parathyroid  
4 hormone and agonists, parathyroid hormone antagonists, prostaglandin antagonists,  
5 pentigetide, protein C, protein S, renin inhibitors, thymosin alpha-1, thrombolytics, TNF,  
6 vaccines, vasopressin antagonists analogs, alpha-1 antitrypsin (recombinant), and  
7 TGF-beta.

8 As mentioned above, the device 2 of the present invention can also be used  
9 with known sampling devices including, but not limited to, reverse iontophoresis,  
10 osmosis, passive diffusion, phonophoresis, and suction (i.e., negative pressure).  
11 Figure 28 illustrates an osmotic sampling device 104 in combination with any of the  
12 embodiments described previously for device 2. Osmotic sampling devices can be  
13 used to sample any of a variety of agents (e.g., body analytes, licit and illicit drugs)  
14 through a body surface including, but not limited to glucose, body electrolytes, alcohol,  
15 blood gases, and illicit substances such as drugs of abuse. The osmotic sampling  
16 device 104 is attached to a body surface by means of a flexible adhesive overlay 100  
17 and the anchoring elements of device 2. Device 104 is comprised of a salt layer 106  
18 located between a semi-permeable or osmotic membrane 94 and an optional agent  
19 sensing element 108. The optional agent sensing element can be any of a variety of  
20 chemically reactive sensors and indicators, for example the color indicating test strips  
21 associated with glucose testing. The adhesive overlay 100 can have a cut-out or  
22 transparent window in the area of the indicators so that the indicators can be readily  
23 viewed. In an alternate embodiment, the agent sensing element can be located  
24 between the device 2 and the salt layer.

25 The following example is merely illustrative of the present invention and should  
26 not be considered as limiting the scope of the invention in any way, as this example  
27 and other equivalents thereof will become apparent to those versed in the art and in  
28 light of the present disclosure, drawings, and the accompanying claims.

### Example

The effect of the present design was evaluated on the skin resistance of a hairless guinea pig. A blade array of two square centimeters was applied to ECG electrodes of five square centimeters. The blade array and electrodes were then applied to the skin of the animal. Resistance measurements were taken two minutes after application of the electrode to the skin of the animal. A decrease in resistance was observed indicating that penetration of the blades into the skin had occurred.

The device was evaluated for its effect on electrotransport flux of a decapeptide in the hairless guinea pig. The following are specifications for the device: the device consisted of a sheet having a plurality of rectangular openings having six blades, three on each long side of a  $860\text{ }\mu\text{m}$  by  $250\text{ }\mu\text{m}$  rectangle resulting in a  $0.22\text{ mm}^2$  open area for each opening. Each set of three blades started at the opposite end of the rectangle as the opposing set of blades. All of the blades were about  $200\text{ }\mu\text{m}$  long. All six blades had slanted leading edges and the blade at each end was barbed as well. The group of six blades were arranged in two slightly offset rows with ten groups in each row on the sheet. Each device was a two  $\text{cm}^2$  piece of stainless steel  $25\text{ }\mu\text{m}$  thick etched and punched with eight pairs of offset rows or 160 groups of six blades for a total of 960 blades. There were 40 void areas per  $\text{cm}^2$  and 240 blades per  $\text{cm}^2$ .

For the study, a one compartment electrotransport system was used. It consisted of a cathode compartment containing a Dulbelco's phosphate buffered saline imbibing gel and a donor anode compartment containing two millimoles of decapeptide buffered at pH 7.5, 10% cholestyramine chloride and 3% hydroxyethylcellulose. After loading the gels in the system, the release liner was removed from the adhesive foam bottom of the electrotransport system. The device was carefully applied over a 1.6 cm diameter hole containing the donor gel with the microblades facing away from the gel. The electrotransport system was then placed on the skin of a lightly anesthetized hairless guinea pig. The systems were applied to the backs of the animals using gentle downward pressure while at the same time pushing bottom side of the system with the thumb of the technician. (The thumb trapped a roll of the animals' skin which allowed some upward pressure to be applied directly to the bottom side of the skin in contact

1 with the device microblades). After two minutes the current and resistance  
2 measurements were observed and recorded. The electrotransport system was  
3 wrapped with Vetrap and the animals were returned to their cages for the duration of  
4 electrotransport (5 and 24 hours). Decapeptide flux was evaluated by measuring  
5 urinary excretion of this peptide. Only a modest effect of the device on decapeptide  
6 flux was observed in the first five hours of transport. Between five and twenty-four  
7 hours, the electrotransport flux of an ordinary electrotransport device dropped very  
8 significantly probably due to collapse of the pathways or possibly aggregation of the  
9 peptide in the pathways (the decrease in flux between five and twenty-four hours was  
10 reproducible). Use of the blade array device completely prevented this decrease in flux  
11 and resulted in an overall ten-fold increase in decapeptide flux over a twenty-four hour  
12 transport period.

13 While the invention has been described in conjunction with the preferred  
14 specific embodiments thereof, it is to be understood that the foregoing description as  
15 well as the example are intended to illustrate and not limit the scope of the invention.  
16 Other aspects, advantages and modifications within the scope of the invention will be  
17 apparent to those skilled in the art to which the invention pertains.

1 **WHAT IS CLAIMED IS:**

2

3 1. A device (2) for piercing the stratum comeum of a body surface to form  
4 pathways through which an agent can be introduced or withdrawn, comprising:

5 a sheet (6) having at least one opening (8) therethrough and a plurality of  
6 blades (4) extending downward therefrom, at least one of the plurality of blades having  
7 an anchor (50,68,74,82) for anchoring the device (2) to the body surface.

8 2. A device (2) for piercing the stratum comeum of a body surface to form  
9 pathways through which an agent can be introduced or withdrawn, comprising:

10 a sheet (6) having at a plurality of openings (8) therethrough, at least one of said  
11 openings (8) having a plurality of blades (4) located along a periphery thereof and  
12 extending downward from the sheet (6), and an anchor (50,68,74,82) for anchoring the  
13 device (2) to the body surface.

14 3. The device of Claim 1 or 2, wherein the anchor is selected from the group  
15 consisting of:

16 (i) a projection (68) extending out from at least one blade (4);

17 (ii) a barb (50);

18 (iii) at least one opening (74) extending through at least one blade (4);

19 (iv) an adhesive on a body contacting surface (48) of the sheet (6) and on at  
20 least one surface (82) of at least one of the plurality of blades (4);

21 (v) each of the blades (4) having an axis, the blades (4) being oriented  
22 so that the blade axes are substantially parallel and the axes form an angle of  
23 about 1° to about 89° relative to the sheet (6);

24 (vi) each one of the plurality of blades (4) defines essentially a plane and  
25 wherein the anchor comprises a portion of the plurality of blades being oriented at an  
26 angle of about 90° with respect to a remaining portion of the plurality of blades; and

27 (vii) each one of the plurality of blades (4) defines essentially a plane and  
28 wherein the anchor comprises a portion of the plurality of blades (4) being oriented at  
29 an angle within a range of about 1° to about 89° with respect to a remaining portion of  
30 the plurality of blades (4).

1           4. The device of Claim 3, wherein the projection (68) extends out from a plane  
2 defined by the at least one blade (4).

3           5. The device of Claim 4, wherein the projection (68) is a prong (4).

4           6. The device of Claim 3, wherein the projection is integral with an edge of the  
5 at least one blade and in a plane defined by the at least one blade.

6           7. The device of Claim 1 or 2, further comprising a therapeutic agent delivery  
7 device (10,88,98,104) connected to the piercing device (2) and positioned to deliver a  
8 therapeutic agent through the openings (8) to the body surface, the agent delivery  
9 device (10,88,98,104) being selected from the group consisting of an electrotransport  
10 device (10), a passive diffusion device (88,98), an osmotic device (104), and a  
11 pressure driven device.

12          8. The device of Claim 7, wherein the agent comprises a polypeptide or protein.

13          9. The device of Claim 1 or 2, further comprising a sampling device  
14 (10,88,98,104) connected to the piercing device and positioned to sample a substance  
15 from the body surface through the opening(s) (8), the sampling device being selected  
16 from the group consisting of a reverse electrotransport device (10), a passive diffusion  
17 device (88,98), an osmotic device (104), and a negative pressure driven device.

18          10. The device of Claim 9, wherein the sampled substance is selected from the  
19 group consisting of body electrolytes, illicit drugs and glucose.

20          11. The device of Claim 1, wherein a portion of the plurality of blades (4) are  
21 located along a periphery of an opening (8) through the sheet (6).

22          12. The device of Claim 1 or 2, further comprising a plurality of second  
23 openings (80) through the sheet (6) being spaced between the plurality of openings (8).

24          13. The device of Claim 1 or 2, wherein the device has about 600 to about 1000  
25 blades/cm<sup>2</sup>.

26          14. The device of Claim 1 or 2, wherein the device has at least about 800  
27 blades/cm<sup>2</sup>.

28          15. The device of Claim 1 or 2, wherein at least a portion of the plurality of  
29 blades (4) have a length sufficient to pierce the stratum corneum of the body surface to  
30 a depth of at least about 25  $\mu$ m.

1           16. The device of Claim 1 or 2, wherein each of the plurality of blades (4) is  
2 oriented approximately perpendicular to the sheet (6).

3           17. The device of Claim 1 or 2, wherein each of the plurality of blades (4) is  
4 oriented at an angle in the range of about 1° to about 89° to the sheet (6).

5           18. The device of Claim 1 or 2, wherein each of the plurality of blades (4) is  
6 oriented at an angle in the range of about 10° to about 60° to the sheet (6).

7           19. The device of Claim 1 or 2, wherein at least a portion of the plurality of  
8 blades (4) have a thickness in the range of about 7  $\mu\text{m}$  to about 100  $\mu\text{m}$ .

9           20. The device of Claim 1 or 2, wherein at least a portion of the plurality of  
10 blades (4) have a thickness in the range of about 25  $\mu\text{m}$  to about 50  $\mu\text{m}$ .

11           21. The device of Claim 1 or 2, wherein the plurality of blades (4) is composed  
12 of a material selected from the group consisting of metals, metal alloys, glasses,  
13 ceramics and rigid polymers.

14           22. The device of Claim 1 or 2, wherein the sheet (6) and the plurality of blades  
15 (4) are substantially impermeable to the passage of the agent.

16           23. The device of Claim 1 or 2, wherein the plurality of blades (4) are thinner  
17 than the sheet (6).

18           24. A method for producing a device (2) for piercing the stratum corneum of a  
19 body surface, the method comprising:

20           applying a layer of photo-resist to a first side (49) of a sheet (6);

21           exposing the layer of photo-resist through a mask pattern for producing a  
22 plurality of blades (4);

23           etching exposed portions of the photo-resist and the sheet (6) to produce the  
24 plurality of blades (4) and openings (8) through the sheet (6);

25           punching the plurality of blades (4) through the openings (8) such that the  
26 plurality of blades (4) extend downward from the sheet (6); and

27           incorporating the device (2) for piercing the stratum corneum into a delivery  
28 device (10,88,98,104) or sampling device (10,88,98,104).

29           25. The method of Claim 24, wherein the photo-resist is a resist selected  
30 from the group consisting of wet resist and dry resist.

31           26. The method of Claim 24 wherein the etching step comprises spray etching.



1           27. The method of Claim 24 wherein the punching step comprises:  
2           placing the sheet (6) on a die (52) having a plurality of openings (56)  
3           corresponding to the plurality of blades (4) and openings (8) of the sheet (6); and  
4           bending the plurality of blades (4) through the openings (56) to be substantially  
5           perpendicular to the sheet (6) with a punch (54) having a plurality of protrusions (58)  
6           corresponding to the plurality of openings (56) in the die (52) and the plurality of  
7           openings (8) of the sheet (6).

8           28. A method of transdermally sampling an agent, comprising:

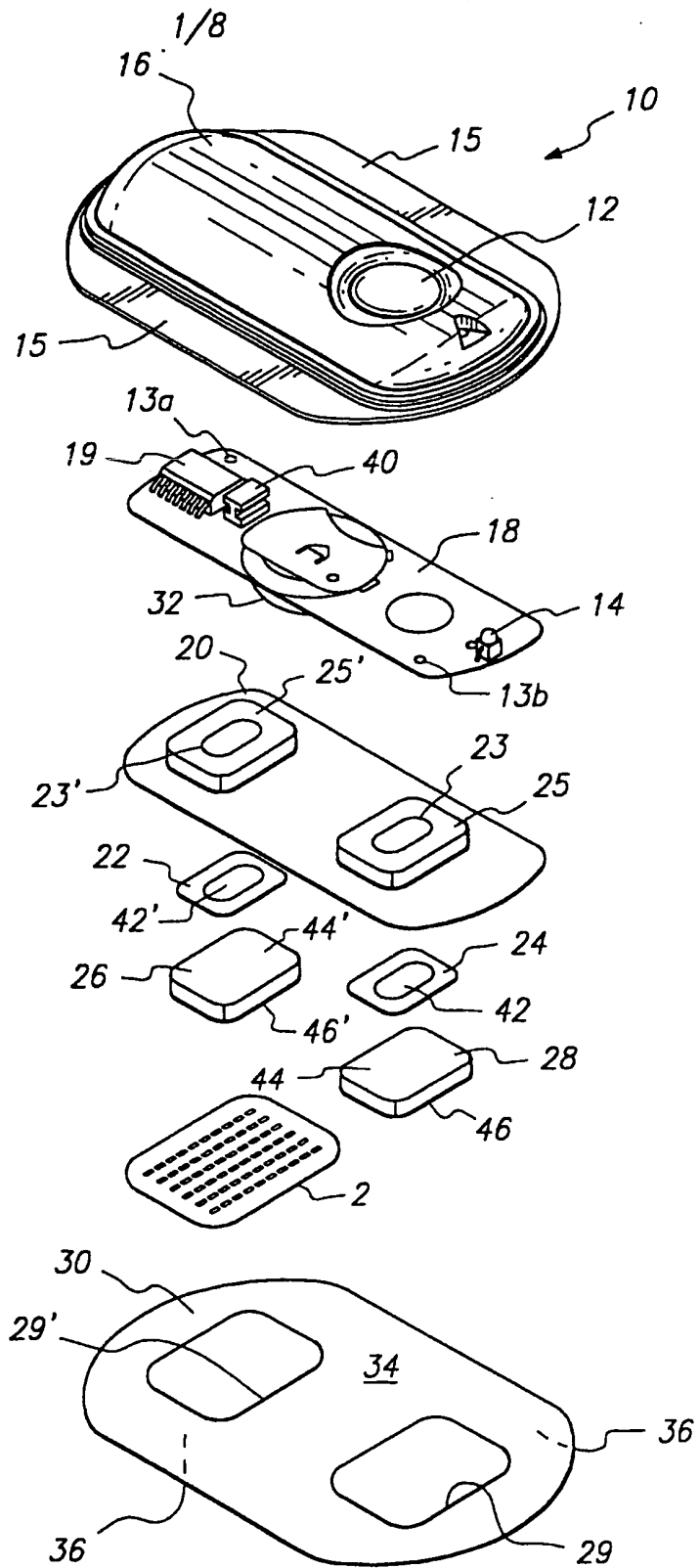
- 9           a.       placing a device (2) on a body surface through which the agent is to be  
10          withdrawn, the device (2) including a sheet (6) having at least one opening (8)  
11          therethrough and a plurality of blades (4) extending downward therefrom whereby  
12          agent transmitting pathways are formed through the stratum corneum at the body  
13          surface, and a reservoir (26, 90, 106) in agent transmitting relation with the opening (8);  
14          b.       withdrawing the agent through the pathways and said opening (8); and  
15          c.       collecting the agent in the reservoir (26,90,106).

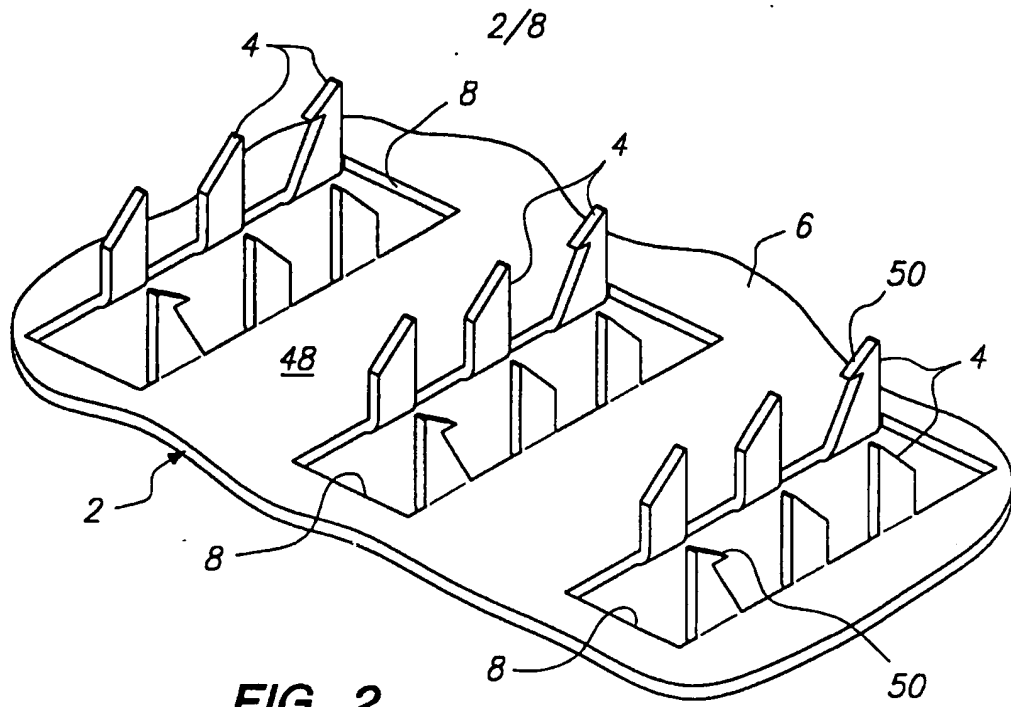
16          29. The method of Claim 28, wherein the sampled agent is selected from the  
17          group consisting of body analytes, electrolytes, blood gases, illicit drugs, licit drugs and  
18          glucose.

19          30. The method of Claim 28, further comprising:

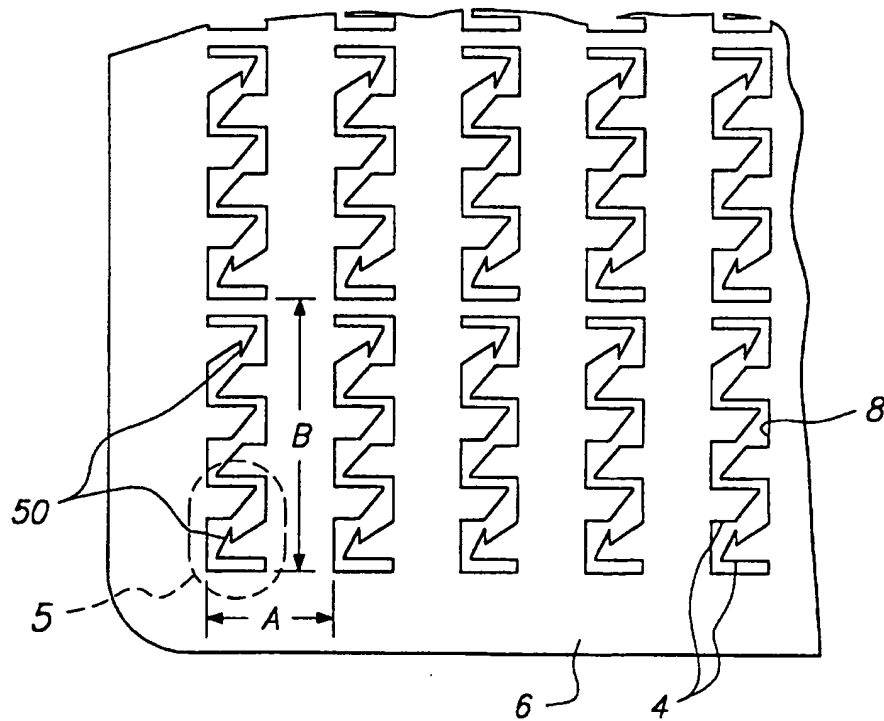
20          connecting a sampling device (10,88,98,104) to a side opposite of a side (48) of  
21          the sheet (6) having the blades (4) extending downward therefrom, the sampling device  
22          (10,88,98,104) being selected from the group consisting of a reverse electrotransport  
23          sampling device (10), a passive sampling device (88,98), an osmotic sampling device  
24          (104), and a negative pressure driven sampling device.

FIG. 1





**FIG. 2**



**FIG. 3**

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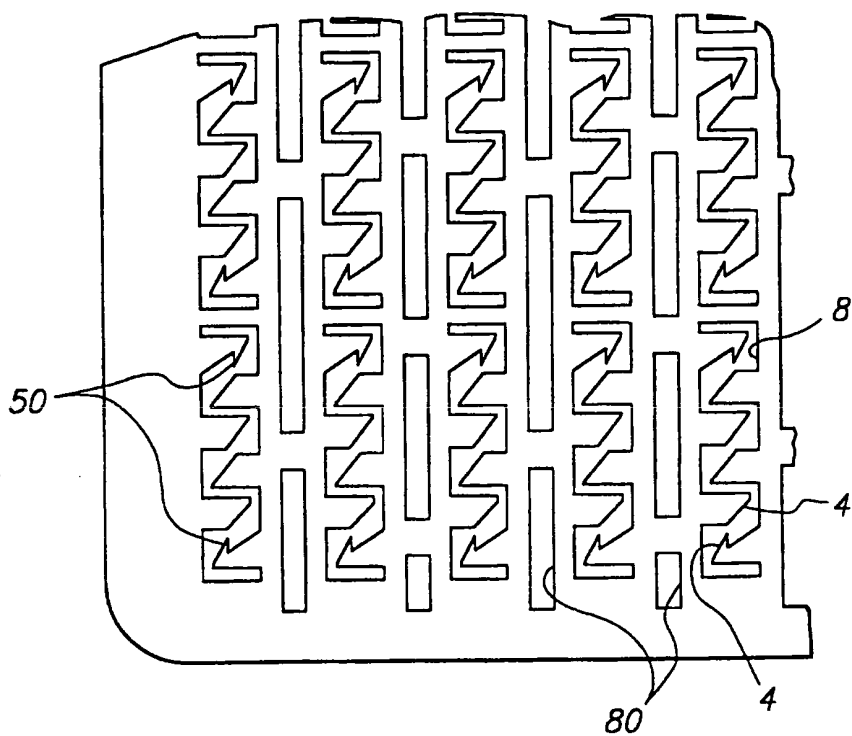


FIG. 4

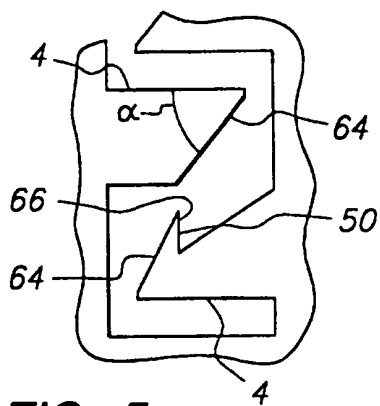


FIG. 5

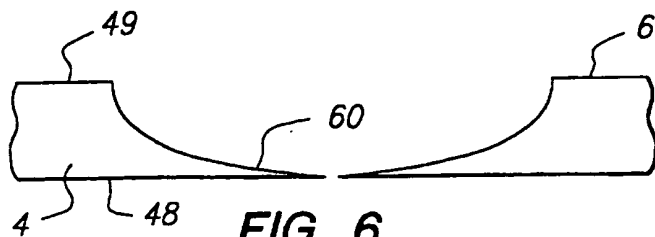
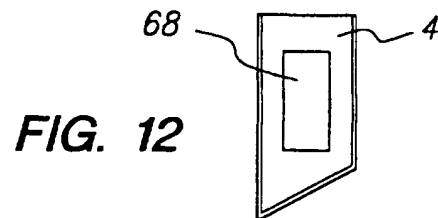
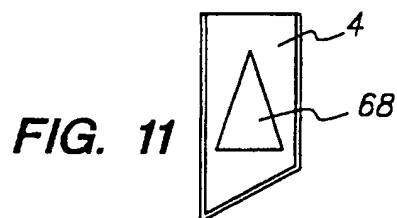
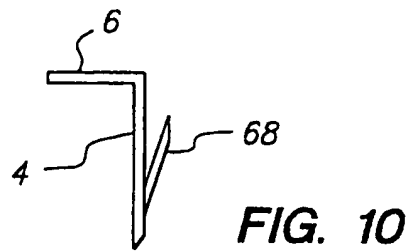
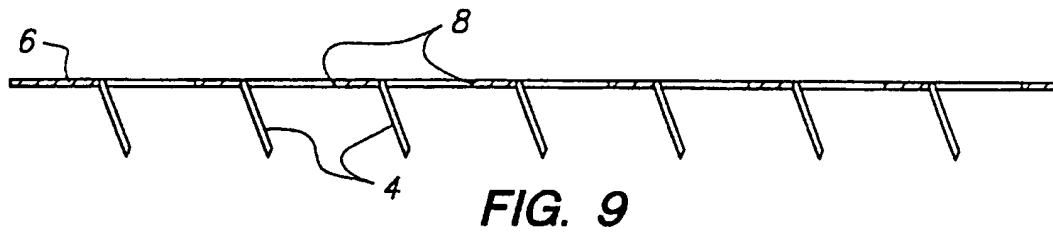
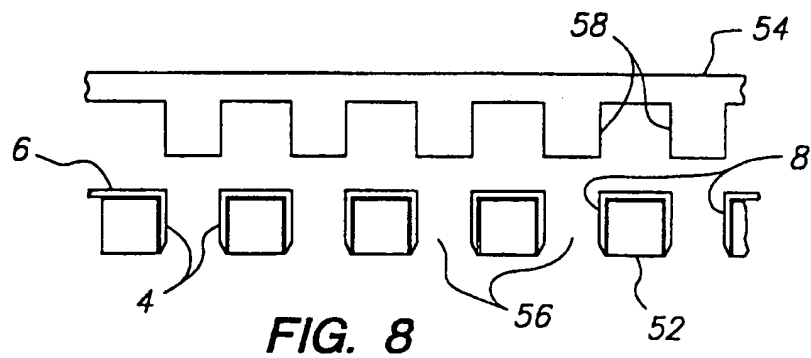
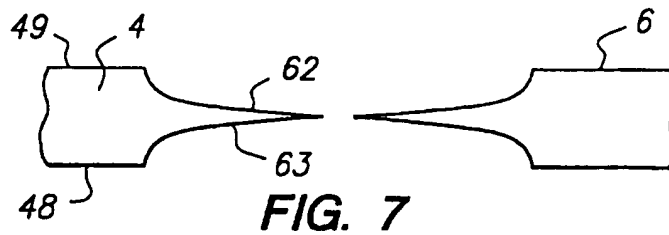
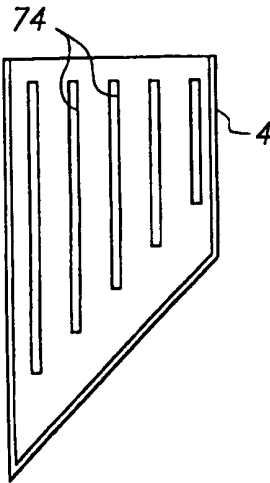
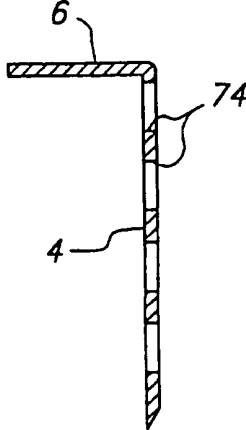
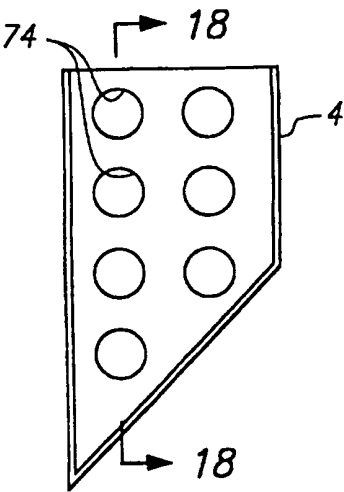
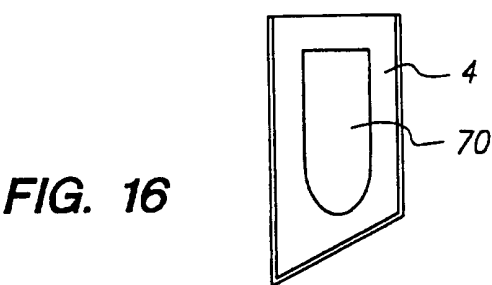
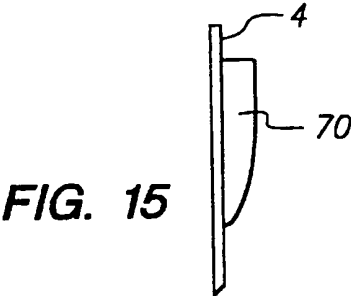
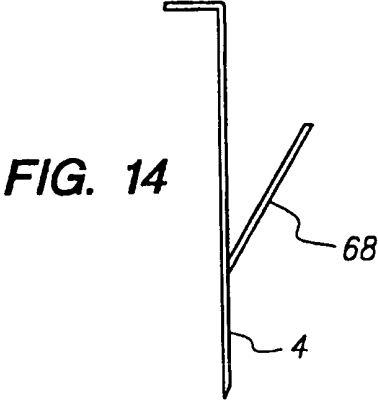
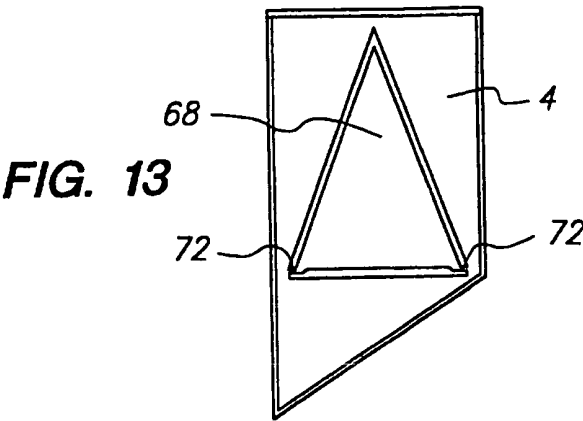


FIG. 6

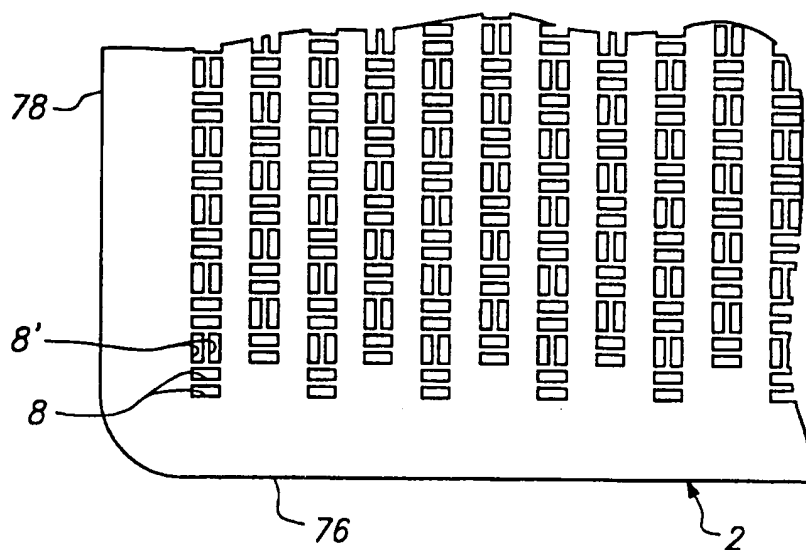
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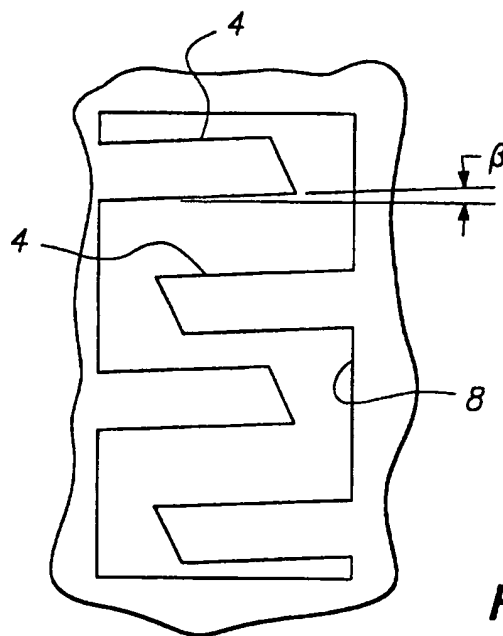
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6/8



**FIG. 20**



**FIG. 21**

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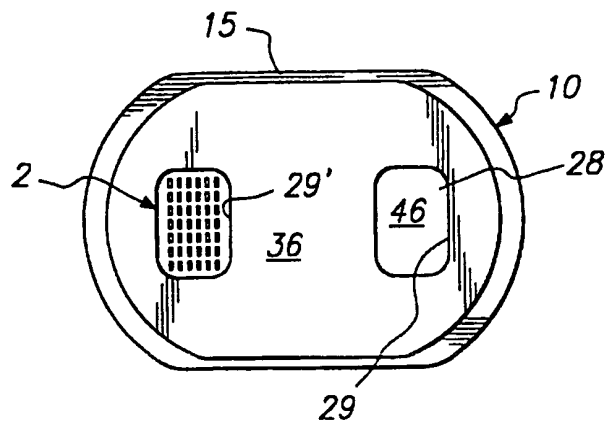


FIG. 22

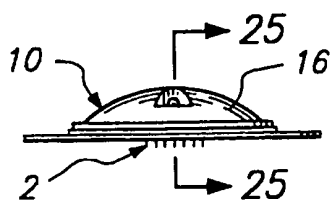


FIG. 23

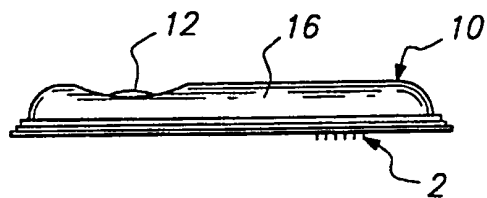


FIG. 24

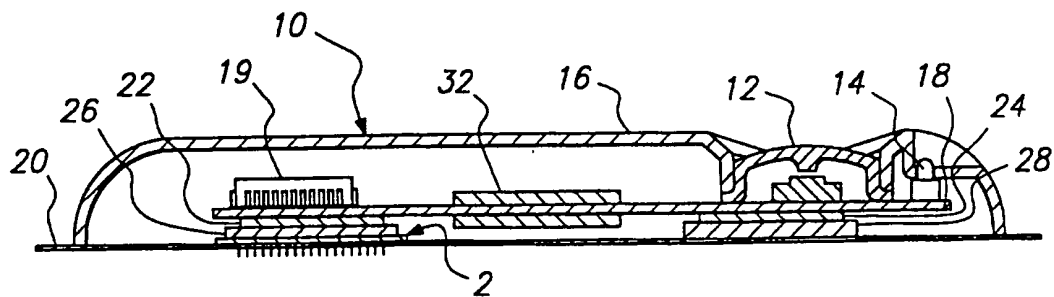


FIG. 25



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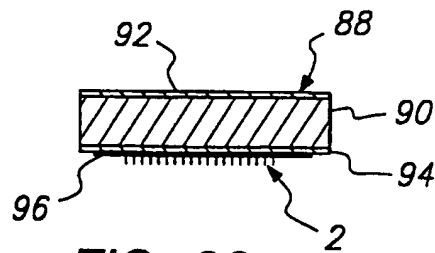


FIG. 26

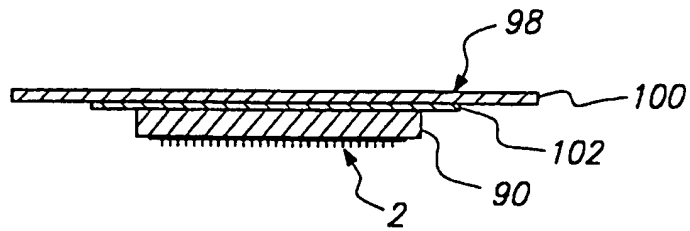


FIG. 27

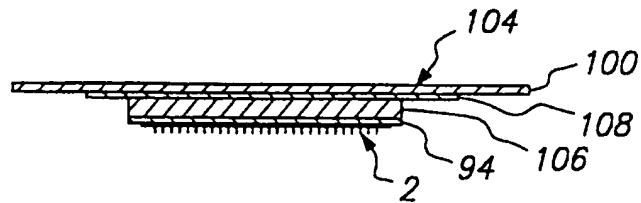


FIG. 28

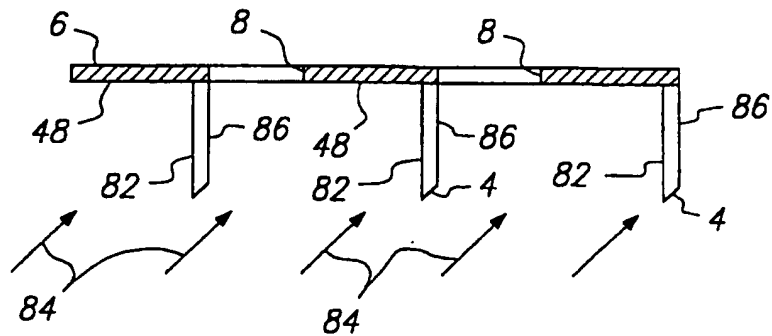


FIG. 29

# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 97/10516

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 A61N1/30

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 6 A61N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 96 17648 A (CIBA GEIGY AG ;EFFENHAUSER CARLO STEFAN (DE); MANZ ANDREAS (CH)) 13 June 1996	1-3,7,8, 11,15-17
Y	see page 7, line 28 - page 12, line 27; figures	28-30
Y	--- US 5 279 543 A (GLIKFELD ET AL.) 18 January 1994 cited in the application	28-30
A	see column 3, line 64 - column 4, line 49; figures 7,8	1,9,10
A	--- US 5 279 544 A (GROSS ET AL.) 18 January 1994 cited in the application see page 2, line 58 - page 7, line 10; figures	1-5,7, 15,16, 21,24
	--- -/-	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

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- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
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- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
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Date of the actual completion of the international search

22 October 1997

Date of mailing of the international search report

10.11.97

Name and mailing address of the ISA

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Rakotondrajaona, C

# INTERNATIONAL SEARCH REPORT

Interr.    nal Application No  
PCT/US 97/10516

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 3 964 482 A (GERSTEL MARTIN S ET AL) 22 June 1976 cited in the application see column 4, line 21 - column 7, line 20; figures ---	1-5,7, 15,16,24
A	US 5 250 023 A (LEE ET AL.) 5 October 1993 cited in the application see column 1, line 29 - column 11, line 8; figures -----	1-5,7, 15,24

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 97/10516

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